

Application for Printing out Documents for Securities Holder

Date _____

I/We _____ national I.D. card/passport/company

Registration number _____ as a securities holder of company/mutual fund _____

_____ request the Thailand Securities Depository Co., Ltd. ("TSD") to print out the following documents:

For Securities Holder	For Officer of Thailand Securities Depository Co., Ltd.		
Type of Document/Report	Quantity (Person/Page)	Rate (including VAT of 7%)	Amount (THB)
<input type="checkbox"/> 1. Details of securities certificate		THB 9 per page (in case of more than 5 pages, THB 45 will be charged)	
<input type="checkbox"/> 2. Certificate of ownership of securities as of _____		THB 9 per page (in case of more than 5 pages, THB 45 will be charged)	
<input type="checkbox"/> 3. Details of payment of dividend/interest/other cash benefits (specify month/year) _____		THB 9 per page (in case of more than 5 pages, THB 45 will be charged)	
<input type="checkbox"/> 4. Copy of share transfer document and share certificate		THB 9 per page (in case of more than 5 pages, THB 45 will be charged)	
<input type="checkbox"/> 5. Securities' registration		THB 9 per page	
<input type="checkbox"/> 6. Withholding tax certificate (Please specify month/year of dividend payment) _____		THB 9 per page	
<input type="checkbox"/> 7. Others _____			
	Total amount (THB)		

Please specify the purpose of printing the documents _____

I wish to receive the documents requested to be printed out whereby they will be

- Received at TSD Send document via email address (please specify your email address) _____
- Send document via registered mail at address _____ Postcode _____

◆ Please make the exact payment at the rate of the document printing fee above. In case the securities holder acknowledges an over-payment and/or where TSD has inspected and found no information, TSD reserves the right not to refund the payment already received to the securities holder under any circumstances.

◆ TSD may change such rate as deemed appropriate.

In case the information received from TSD according to this application is the Personal Data, such information will be protected under the Personal Data Protection Act, B.E. 2562, and any of the relevant subordinate regulations as amended from time to time ("PDPA"). The securities holder acknowledges that it is the responsibility of the securities holder to comply with PDPA.

I have thoroughly read all the details specified in the privacy notice of the Stock Exchange of Thailand group ("SET Group") (<https://www.set.or.th/th/privacy-notice.html>) and acknowledged that my personal data, and any third party's personal data I have provided to TSD (if any) will be processed and protected under such privacy notice. In this regard, I hereby confirm and represent that I have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to TSD.

In addition, I hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to TSD and such additional information is necessary for the provision of TSD service, not providing such additional information may render TSD to be unable to provide TSD service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by TSD in connection with the purpose of the provision of TSD service.

Please turn page over for list of required documents

Signature _____ Securities holder
(_____) Phone no. _____
email address _____

Issuing receipts / tax invoices

No Yes

(In case a proxy has been assigned, please sign as both the securities holder and the grantor)

I/We here by authorize _____ my/our representative, <input type="checkbox"/> to file this application <input type="checkbox"/> to file this application and receive documents. Signature _____ Grantor Signature _____ Grantee (_____) (_____) Phone no. _____	<div style="border: 1px solid black; padding: 5px;"> Stamp Duty THB 10 </div>
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For official use only

Signature _____ Officer Date _____

For the securities holder/recipient use only

Signature _____ Receiver Date _____


Remark : 1. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.

2. TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

บริษัท ศูนย์รับฝากหลักทรัพย์ (ประเทศไทย) จำกัด Thailand Securities Depository Co., Ltd.
 เลขที่ 93 ถนนรัชดาภิเษก แขวงดินแดง 93 Ratchadaphisek Road, Dindaeng,
 เขตดินแดง กรุงเทพฯ 10400 Dindaeng, Bangkok 10400 Thailand


SET Contact Center
www.set.or.th/contactcenter
 +662 009 9999 กด 01

Supporting Documents for the Application for Printing out Documents for Securities Holder

- Application for printing out documents for securities holder** with the details filled in and signed by the securities holder correctly and completely.
- Amendment supporting documents in case of change to title/name/surname please attach:**
 - ◆ certificate of change to title, name or surname, marriage certificate, divorce certificate, as the case may be;
- Printing fee for documents for securities holder** can be paid in cash at Thailand Securities Depository Co., Ltd. or in case the application is delivered via postal service, please attach copy of the evidence of money transfer to the account under the name of Thailand Securities Depository Co., Ltd., Siam Commercial Bank Public Company Limited, Wireless Road Branch, savings bank account, account number 049-2-63596-6 or 
- Identification documents of securities holder and authorized person (if any)** pursuant to the type of person with the details as per the table below.
 - In case of other types of person, please ask for additional information at SET Contact Center accordingly to the contact channels further below in the application form or https://www.set.or.th/tsd/en/download/service_form/20220301_person_juristic_EN.pdf
- In case of the death of the securities holder** please attach additional documents signed to certify true and correct copy by the executor or administrator of the deceased's estate as follows:
 - ① Copy of the court order appointing the executor or administrator of the deceased's estate and copy of the certificate confirming the finality of the case certified by the court not more than 1 year ago
 - ② Copy of the deceased's death certificate
 - ③ Original and copy of the identification card of the executor or administrator of the deceased's estate
- Copy of identification documents submitted to TSD may contain sensitive personal data which is not necessary for the provision of TSD service, and TSD has no intention of collecting such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In case that no action is taken to cover up such sensitive personal data, TSD shall deem that you have given your consent to TSD to the collection of such sensitive personal data.

Type of person	Identification Documents
Individual Person - Thai Nationality	A Copy of Thai ID Card which has been certified as true and correct copy of the original document by the document owner.
Individual Person - Other Nationalities	A Copy of alien identification card / passport which has been certified as true and correct copy of the original document by the document owner.
Juristic Person - Thai Nationality	<ol style="list-style-type: none"> 1. A copy of the juristic person registration certificate issued by the Ministry of Commerce issued not more than 1 year before the date of submission as part of this application which has been certified as true and correct copy of the original document by the authorized directors. 2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.
Juristic Person - Other Nationalities	<ol style="list-style-type: none"> 1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled. 2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showing the juristic person's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the country where such juristic person is domiciled. 3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner. <p><u>All documents for "Other Nationalities" above, must have been:</u></p> <ol style="list-style-type: none"> (1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the signature of the person who prepared or certified such documents. (2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by the public notary or such competent authority under (1). <ul style="list-style-type: none"> ■ The certification must be issued not more than 1 year before the date of submission as part of this application. ■ The documents prepared in any other foreign languages other than English must be translated into English.

- Remark** 1. Securities holder who has deposited the securities with broker/custodian can submit a request for the securities holder list as at record date "RD"/Book Closing date "BC". The details are as follows:
1. Submit Shareholder's request form: "Shareholder's request for documents" and Identification documents, only on RD or BC.
 2. In case of submitting document by postal mail, the request date to use is the postmark date, which must match the RD or BC.
 3. Only the Securities holder whose name appears in the securities on RD or BC, can request the securities holder list as at RD or BC.
2. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.
3. TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

TSD302_4/2022_EN : 1/3/2022

